



**Incoming Transfer/Direct Rollover
401(a) Plan**

Do not complete the Investment Option Information portion of this form if you elected to have your account professionally managed by Advised Assets Group, LLC ("AAG"). If you have not yet elected to have your account professionally managed by AAG and would like to enroll in the Managed Accounts Service, call 1-800-352-0313.

CCOERA 401(A) Plan

98721-01

Participant Information

Last Name			First Name			MI			Social Security Number										
Address - Number & Street												E-Mail Address							
City				State		Zip Code				Mo		Day		Year		<input type="checkbox"/> Female		<input type="checkbox"/> Male	
() Home Phone				() Work Phone				Date of Birth				<input type="checkbox"/> Married		<input type="checkbox"/> Unmarried					

Payroll Information

Division Name										To be completed by Representative: _____									
Division Number										Division Number									

Transfer/Direct Rollover Information

I am choosing a:

- Transfer/direct rollover from a qualified 401(a), 401(k), governmental 457(b) or 403(b) plan.
- Direct rollover from an IRA.

Previous Provider Information:

Company Name												Account Number					
Mailing Address												()					
City/State/Zip Code												Phone Number					

Previous provider must complete:

Employer/employee before-tax contributions and earnings: \$ _____

After-tax contributions, if any: \$ _____

Note: Unless otherwise indicated, all amounts received will be considered employee before-tax contributions and earnings.

Amount of Transfer/Direct Rollover: \$ _____ (Enter approximate amount if exact amount is not known.)



Investment Option Information - Please refer to your marketing communication materials for investment option designations.

I understand that funds may impose redemption fees on certain transfers, redemptions or exchanges if assets are held less than the period stated in the fund's prospectus or other disclosure documents. I will refer to the fund's prospectus and/or disclosure documents for more information.

Please Note: For automatic dollar cost averaging call CCOERAcall or access our Web site.

<u>INVESTMENT OPTION NAME</u>	<u>INVESTMENT OPTION CODE</u>	_____ %	<u>INVESTMENT OPTION NAME</u>	<u>INVESTMENT OPTION CODE</u>	_____ %
Pension Portfolio 1 - Very Conservative	C1-CON	_____ %	Wells Fargo C&B Mid Cap Value - D.....	CBMDX	_____ %
Domini Social Equity Fund	DO-SOC	_____ %	American Beacon Small Cap Value Inst	AVFIX	_____ %
PIMCO Total Return Fund - Admin	PI-TRT	_____ %	Julius Baer International Equity - A	BJBIX	_____ %
CCOERA Book Value Fund	CCOSVF	_____ %	Vanguard 500 Index Fund - Admiral	VFIAX	_____ %
ABN AMRO/Veredus Aggressive Growth Fund	VERDX	_____ %	Pension Portfolio 3 - Moderate	C3-MOD	_____ %
Fidelity Contrafund	FD-CNT	_____ %	Vanguard Mid Cap Index Fund - Admiral.....	VIMAX	_____ %
Artisan Mid Cap Fund	ARTMX	_____ %	Pension Portfolio 4 - Aggressive	C4-MAG	_____ %
American Beacon Int'l Equity Fund - Inst.....	AAIEX	_____ %	Marsico Growth Fund	MGRIX	_____ %
Pension Portfolio 2 - Conservative	C2-MCN	_____ %	Vanguard Small Cap Index Fund - Admiral.....	VSMAX	_____ %
Fidelity Money Market Fund	FRTXX	_____ %	Pension Portfolio 5 - Very Aggressive	C5-AGG	_____ %
PIMCO StocksPlus Fund - Admin	PPLAX	_____ %			
Davis New York Venture Fund	DV-NYV	_____ %	MUST INDICATE WHOLE PERCENTAGES		= 100%

Participant Acknowledgements

Advised Assets Group, LLC - If I have elected to have my account professionally managed by Advised Assets Group, LLC and this form is submitted, my election to have my account professionally managed will override the investment allocation requested on this form until such time as I revoke or amend my election to have my account professionally managed.

General Information - I understand that only certain types of distributions are eligible for transfer/rollover treatment and that it is solely my responsibility to ensure such eligibility. By signing below, I affirm that the funds I am transferring/rolling are in fact eligible for such treatment.

I authorize these funds to be transferred into my employer's Plan and to be invested according to the information specified in the Investment Option Information section.

If the investment option information is missing or incomplete, I authorize Service Provider to allocate the transfer/direct rollover assets ("assets") the same as my ongoing contributions (if I have an account established) or to the default investment option selected by my Plan (if I do not have an account established). If no default investment option is selected, the funds will be returned to the payor as required by law. If my assets are received more than 180 calendar days after Service Provider receives this Incoming Transfer/Direct Rollover form (this "form"), I authorize Service Provider to allocate all monies received the same as my ongoing allocation election on file with Service Provider. I understand I must call CCOERAcall or access the Web site in order to make changes or transfer monies from the default investment option. The assets will be processed on the day this form is received. I understand that this completed form must be received by Service Provider at the address below.

I understand that the current Custodian/Provider may require that I furnish additional information before processing the transaction requested on this form, and Service Provider is not responsible for determining the status of any transaction that I have requested. It is entirely my responsibility to provide the current Custodian/Provider with any information that they may require, and/or to notify Service Provider of any information that the current Custodian/Provider may wish to obtain in order to effect the transaction.

Withdrawal Restrictions - I understand that the Internal Revenue Code and/or my employer's Plan Document may impose restrictions on transfers, direct rollovers and/or distributions. I understand that I must contact the Plan Administrator/Trustee, if applicable, to determine when and/or under what circumstances I am eligible to receive distributions or make transfers/direct rollovers.

Investment Options - I understand that by signing and submitting this form for processing, I am requesting to have investment options established under the Plan as specified in the Investment Option Information section. I understand and agree that this account is subject to the terms of the Plan Document. I understand and acknowledge that all payments and account values, when based on the experience of the investment options, may not be guaranteed and may fluctuate, and, upon redemption, shares may be worth more or less than their original cost. I acknowledge that investment option information, including prospectuses, disclosure documents and Fund Profile sheets, have been made available to me and I understand the risks of investing.

Account Corrections - I understand that it is my obligation to review all confirmations and quarterly statements for discrepancies or errors. Corrections will be made only for errors which I communicate within 90 calendar days of the last calendar quarter. After this 90 days, account information shall be deemed accurate and acceptable to me. If I notify Service Provider of an error after this 90 days, the correction will only be processed from the date of notification forward and not on a retroactive basis.

Last Name

First Name

MI

Social Security Number

Payment Instructions

Make check payable to:
ORCHARD TRUST COMPANY, LLC

Include the following information on the check:
Participant Name, Social Security Number,
Plan Number, Plan Name

Wire instructions:
Bank: US Bank
Account of: Orchard Trust Company, LLC
Account no: 103655774323
Routing transit no: 102000021
Attention: Financial Control
Reference: Participant Name, Social Security Number,
Plan Number, Plan Name

**Regular mail address for the check and form
(if mailed together):**
ORCHARD TRUST COMPANY, LLC
Dept. 0877
Denver, CO 80256-0877

Regular mail address for the form only:
CCOERA Retirement Association
4949 S. Syracuse Street, Suite 400
Denver, CO 80237

**Overnight mail address for the check and form
(if mailed together):**
Orchard Trust Company, LLC
3550 Rockmont Dr
Mail Stop DN-CO-OCLB Dept #0877
Denver, CO 80202
Contact: Great-West Retirement Services®
Phone #: 1-303-713-9400

Please remember that this form needs to arrive prior to or at the same time the funds arrive to invest according to the allocations on this form.

Required Signatures - My signature indicates that I have read, understand the effect of my election and agree to all pages of this Incoming Transfer/Direct Rollover form. I affirm that all information provided is true and correct.

Participant Signature

Date

I acknowledge and agree that the Plan Administrator/Trustee for the Previous Employer's Plan is released from and the Plan Administrator/Trustee for the Current Employer's Plan shall assume all obligations associated with any amounts transferred under this Incoming Transfer/Direct Rollover form.

**Authorized Plan Administrator/Trustee Signature
for Current Employer's Plan**

Date

**Authorized Plan Administrator/Trustee Signature
for Previous Employer's Plan
(for direct rollovers)**

Date

Registered Representative Signature and ID

Date

Participant forward to Plan Administrator/Trustee
Plan Administrator forward to Service Provider at:
CCOERA Retirement Association
4949 S. Syracuse Street, Suite 400
Denver, CO 80237
Phone #: 1-303-713-9400
Fax #: 1-303-713-9413
Web site: www.ccoera.org