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Your Investment Profile

A comfortable retirement doesn't just happen – it requires dedicated participation. The TD Ameritrade 401(k) Profit Sharing Plan (the Plan) offers an easy way to invest for the future. One way to get involved in planning for your future is to determine your investment profile. Learning your investment profile, or style, can help you identify an asset allocation strategy that is best for you. This will allow you to implement your strategy using the underlying investment choices offered through the Plan that may be best for you.

Here are four easy steps that will help you identify which asset allocation strategy may be right for you.

1. Determine your risk tolerance.
2. Select your retirement time horizon.
3. Learn your investor style.
4. Create an asset allocation strategy.

STEP 1

My Risk Tolerance

I am a knowledgeable investor who understands the trade-off between risk and return, and I am willing to accept a greater degree of risk to gain the potential for higher returns.

Disagree Agree

1 2 3 4 5

If one of my investments dropped 20% in value over six months due to market fluctuation, I would hold on to that investment, expecting to recover its value.

Disagree Agree

1 2 3 4 5

I am willing to invest on a long-term basis.

Disagree Agree

1 2 3 4 5

I have sources of savings other than my employer-sponsored Plan that make me feel secure about my financial future.

Disagree Agree

1 2 3 4 5

Your Total Score: ____

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STEP 2

My Retirement Time Horizon (check one)

- ☐ 30 or more years until I retire
- ☐ 11-29 years until I retire
- ☐ 10 or less years until I retire

STEP 3

My Investor Style

Now it's just a simple matter of seeing how your Retirement Time Horizon corresponds to your Risk Tolerance score to learn your Investor Style.

Time Horizon	Quiz Score 15-20	Quiz Score 9-14	Quiz Score 4-8
30 years or more	Aggressive	Moderately Aggressive	Moderate
11-29 years	Moderately Aggressive	Moderate	Moderately Conservative
10 years or less	Moderate	Moderately Conservative	Conservative

For more retirement planning tools, please log on to your account at www.tdameritrade401kplan.com.

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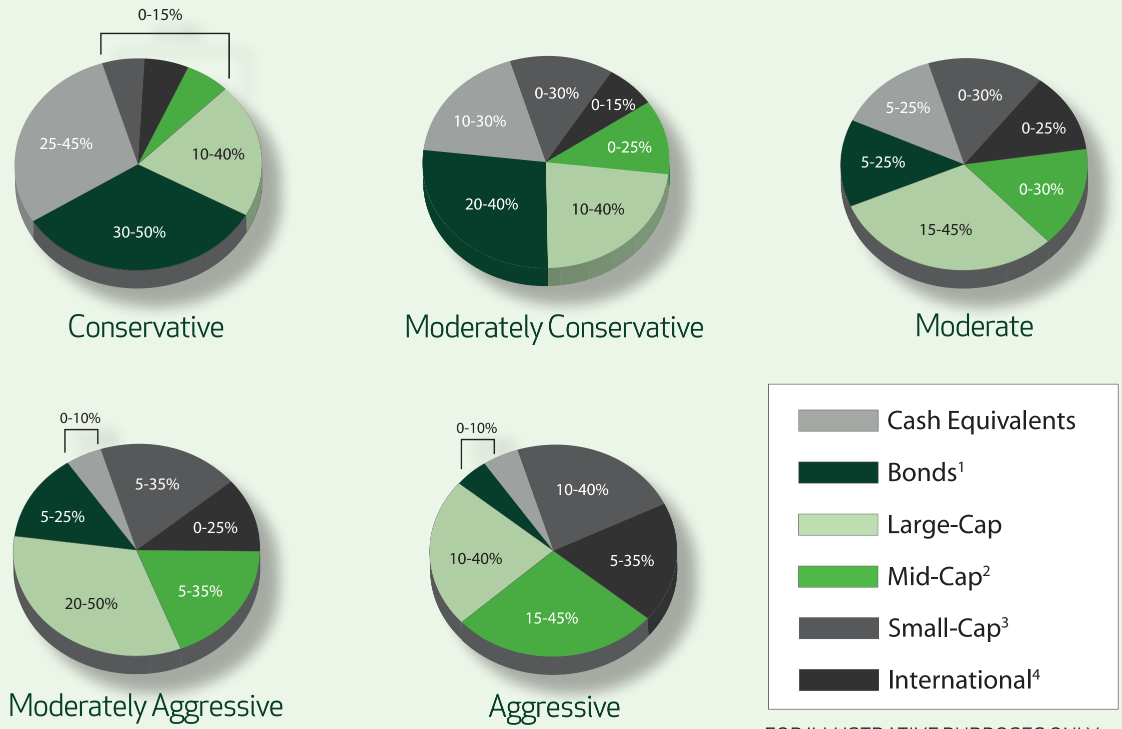
Using your investor style, you can diversify your account by allocating your balance among the various investment choices and asset classes. In step four are sample asset allocation strategies for various levels of risk.

STEP 4

Create an Asset Allocation Strategy



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The asset allocation strategies are based on an investment strategy based on risk and return. This is not intended as financial planning or investment advice.

To make changes to your Plan account, visit www.tdameritrade401kplan.com or call 888-TDA-401K.[5] Empower Retirement representatives are available Monday through Friday, 8 a.m. to 7 p.m. CT.[6]

1 A bond fund's yield, share price and total return change daily and are based on changes in interest rates, market conditions, economic and political news, and the quality and maturity of its investments. In general, bond prices fall when interest rates rise and vice versa.

2 Equity securities of medium-sized companies may be more volatile than securities of larger, more established companies.

3 Equity securities of small-sized companies may be more volatile than securities of larger, more established companies.

4 Foreign investments involve special risks, including currency fluctuations and political developments.

5 Access to the voice response system and the website may be limited or unavailable during periods of peak demand, market volatility, systems upgrades/maintenance or other reasons.

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