

Use black or blue ink when completing this form. For questions regarding this form, contact Service Provider at 1-866-737-7457.

98978-01 Commonwealth of Pennsylvania Deferred Compensation Program

A	Participant Information			<i>Account extension identifies funds transferred to a beneficiary due to death, alternate payee due to divorce or a participant with multiple accounts.</i>
	Social Security Number	Account Extension (If applicable)		_____ / _____ / _____
	Last Name	First Name	M.I.	Date of Birth
	Street Address			() _____ Personal Phone Number
	City	State	Zip Code	() _____ Work Phone Number
	Email Address			
	Employee Number	Date of Hire (mm/dd/yy)		Agency of Employment

B	Payroll Election
	PAYROLL ELECTIONS MUST BE ENTERED INTO PRIOR TO THE FIRST DAY OF THE MONTH THAT THE DEFERRAL WILL BE MADE.

Catch-Up Provision (if applicable)

Catch-Up Provision Utilized - If I am eligible for both types of Catch-Up this year, I may select either Age 50 §457 Catch-Up or Special §457 Catch-Up, whichever would result in the larger Catch-Up amount for this calendar year.

- Age 50 §457 Catch-Up** - I must be age 50 or older by the end of this calendar year and I may not use Special §457 Catch-Up this year. The total before-tax and Roth Age 50 §457 Catch-Up amount cannot exceed \$6,000 of my eligible compensation in the 2017 tax year. When added to the basic contribution amount, the aggregate maximum available is \$24,000 of my eligible compensation in the 2017 tax year.
- Special §457 Catch-Up** - I understand the total Special §457 Catch-Up amount cannot exceed \$18,000 of my eligible compensation in 2017. When added to the basic contribution amount, the aggregate maximum available is \$36,000 in 2017. I understand that by electing to begin Special §457 Catch-Up contributions, I shall be deemed to elect as a Normal Retirement Age (NRA) year the earlier of: (1) the calendar year in which I reach age 70 1/2, or (2) the fourth calendar year from the date of the first contribution under this Special §457 Catch-Up election. During the elected NRA year, I will have attained the appropriate age and/or years of service to be eligible for unreduced retirement benefits under my Employer's basic retirement plan. NRA may not be changed in my second and third year of Special §457 Catch-Up. I must have "underutilized amounts" by not contributing the maximum amount available to me under this Plan in any prior calendar years in which I was eligible to participate. I have calculated the total underutilized amounts I have available for Special §457 Catch-Up using the attached underutilized amounts worksheet as indicated below, and have attached the third page worksheet. The calculation tools are provided for my convenience and I should consult with my tax advisor about my tax situation.

Underutilized Amount: \$ _____

- I elect to cancel my Catch-Up contribution election.

Payroll Deduction

This election will supersede all previous contribution elections. The total annual before-tax and Roth contributions combined cannot exceed \$18,000 of eligible compensation in the 2017 tax year, unless catch-up provision has been elected.

Select One: Start Restart Change Stop

I elect to contribute to the Plan the following amount(s) or percentage(s) of my eligible compensation as indicated below (\$5 or .5% minimum contribution) **(PER PAYCHECK)**:

- Before-Tax Contributions \$ _____ or _____ %
- Roth Contributions \$ _____ or _____ %

Payroll Effective Date (mm/dd/yyyy) _____ / _____ / _____

 Last Name First Name MI Social Security Number Number

C	Participant Consent
	<p>My signature acknowledges that I have read, understand and agree to all pages of this form and affirms that all information that I have provided is true and correct. I also understand that:</p> <ul style="list-style-type: none"> • Until cancelled, superseded or I cease to be an eligible employee, this election shall apply to all eligible compensation allowed by the Plan paid from the effective date specified unless a different effective date is required under the terms of the Plan and cancels all previous elections. • Payroll elections must be entered into prior to the first day of the month that the deferral will be made. • I may change the dollar amount or percentage of compensation contributed as allowed under the terms of the Plan. • It is my responsibility to comply with any Internal Revenue Code deferral limits and that I may be responsible for any costs, including taxes and penalties that I may incur as a result of excess contributions. • My Plan Administrator/Trustee may take any action that may be necessary to ensure that my participation is in compliance with any applicable requirement of the Plan Document and the Internal Revenue Code. • I authorize the payroll deduction as indicated on this form. <p>Any person who presents false or fraudulent information is subject to criminal and civil penalties.</p> <p>Participant Signature _____ Date (Required) _____</p>
D	Mailing Instructions
	<p>Participant forward to Empower Retirement</p> <p>Empower Retirement PO Box 173764 Denver, CO 80217-3764 Phone #: 1-866-737-7457 Fax #: 1-866-745-5766</p>

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Underutilized Amounts Worksheet for Special §457 Catch-Up

Begin with the first year you became eligible to participate in this Plan. Ignore all prior years.

Instructions:

1. Enter your includible compensation in column **A**, and multiply by the percentage indicated.
 - a. For years prior to 2002, includible compensation is equivalent to the amount shown on the W-2 in Box 1 (or Box 10 for years prior to 1993).
 - b. For 2002 and thereafter, includible compensation is equivalent to the amounts shown on the W-2 in Box 1, PLUS any amounts received from your employer as a qualified transportation fringe benefit, any amounts deferred under a §125 cafeteria plan and any contributions to a §401(k), §403(b) and §457(b) plan.
2. In column **C**, enter the lesser of the amount in column **A** (includible compensation x applicable percentage) or **B** (basic annual §457(b) contribution limit)
3. From column **C**, subtract columns **D** (actual contributions) and **E** (other contributions) and enter that amount in column **F** (underutilized amount).
4. Add totals from column **F** in the **TOTAL UNDERUTILIZED AMOUNTS** line at the end of the worksheet; this is your total underutilized amount.
5. You may only use an underutilized amount equal to the current year's basic annual §457(b) contribution limit. Any remaining underutilized amount may be contributed in any remaining special catch-up years, up to an amount equal to that year's basic annual §457(b) contribution limit.
6. You must subtract the Special §457 Catch-Up amounts contributed in a prior year from the total underutilized amounts to determine the remaining underutilized amounts.

	A	B	C	D	E	F
Calendar Year	Includible Compensation (See instruction #1)	Basic §457(b) Contribution Limit	Lesser of A or B	Actual Contributions to this 457(b) Plan	Contributions to another 457(b), 403(b) or 401(k) for Years Prior to 2002 and thereafter, only to another §457(b)	Underutilized Amount
1988	33.3% x \$	\$7,500	\$	\$	\$	\$
1989	33.3% x \$	\$7,500	\$	\$	\$	\$
1990	33.3% x \$	\$7,500	\$	\$	\$	\$
1991	33.3% x \$	\$7,500	\$	\$	\$	\$
1992	33.3% x \$	\$7,500	\$	\$	\$	\$
1993	33.3% x \$	\$7,500	\$	\$	\$	\$
1994	33.3% x \$	\$7,500	\$	\$	\$	\$
1995	33.3% x \$	\$7,500	\$	\$	\$	\$
1996	33.3% x \$	\$7,500	\$	\$	\$	\$
1997	33.3% x \$	\$7,500	\$	\$	\$	\$
1998	33.3% x \$	\$8,000	\$	\$	\$	\$
1999	33.3% x \$	\$8,000	\$	\$	\$	\$
2000	33.3% x \$	\$8,000	\$	\$	\$	\$
2001	33.3% x \$	\$8,500	\$	\$	\$	\$
2002	100% x \$	\$11,000	\$	\$	Other 457(b) \$	\$
2003	100% x \$	\$12,000	\$	\$	Other 457(b) \$	\$
2004	100% x \$	\$13,000	\$	\$	Other 457(b) \$	\$
2005	100% x \$	\$14,000	\$	\$	Other 457(b) \$	\$
2006	100% x \$	\$15,000	\$	\$	Other 457(b) \$	\$
2007	100% x \$	\$15,500	\$	\$	Other 457(b) \$	\$
2008	100% x \$	\$15,500	\$	\$	Other 457(b) \$	\$
2009	100% x \$	\$16,500	\$	\$	Other 457(b) \$	\$
2010	100% x \$	\$16,500	\$	\$	Other 457(b) \$	\$
2011	100% x \$	\$16,500	\$	\$	Other 457(b) \$	\$
2012	100% x \$	\$17,000	\$	\$	Other 457(b) \$	\$
2013	100% x \$	\$17,500	\$	\$	Other 457(b) \$	\$
2014	100% x \$	\$17,500	\$	\$	Other 457(b) \$	\$
2015	100% x \$	\$18,000	\$	\$	Other 457(b) \$	\$
2016	100% x \$	\$18,000	\$	\$	Other 457(b) \$	\$

Total Underutilized Amounts (Column F) \$ _____
 Prior Special §457 Catch-Up Contributions, if any (Column E) - \$ _____ (subtract)
 Total Underutilized Amounts Remaining = \$ _____ (equals)