

Use black or blue ink when completing this form. For questions regarding this form, contact Service Provider at 1-866-737-7457.

98978-01 Commonwealth of Pennsylvania Deferred Compensation Program

A	Participant Information			
Social Security Number		Account Extension (If applicable)		Account extension identifies funds transferred to a beneficiary due to death, alternate payee due to divorce or a participant with multiple accounts.
Last Name		First Name	M.I.	Date of Birth / / ()
Street Address		Personal Phone Number ()		
City	State	Zip Code	Work Phone Number	
Email Address				
Employee Number		Date of Hire (mm/dd/yy) / /		Agency of Employment

B	Payroll Election			
PAYROLL ELECTIONS MUST BE ENTERED INTO PRIOR TO THE FIRST DAY OF THE MONTH THAT THE DEFERRAL WILL BE MADE.				
Catch-Up Provision (if applicable)				
<p>Catch-Up Provision Utilized - If I am eligible for both types of Catch-Up this year, I may select either Age 50 \$457 Catch-Up or Special \$457 Catch-Up, whichever would result in the larger Catch-Up amount for this calendar year.</p> <p><input type="checkbox"/> Age 50 \$457 Catch-Up - I must be age 50 or older by the end of this calendar year and I may not use Special \$457 Catch-Up this year. The total before-tax and Roth Age 50 \$457 Catch-Up amount cannot exceed \$6,000 of my eligible compensation in the 2017 tax year. When added to the basic contribution amount, the aggregate maximum available is \$24,000 of my eligible compensation in the 2017 tax year.</p> <p><input type="checkbox"/> Special \$457 Catch-Up - I understand the total Special \$457 Catch-Up amount cannot exceed \$18,000 of my eligible compensation in 2017. When added to the basic contribution amount, the aggregate maximum available is \$36,000 in 2017. I understand that by electing to begin Special \$457 Catch-Up contributions, I shall be deemed to elect as a Normal Retirement Age (NRA) year the earlier of: (1) the calendar year in which I reach age 70 1/2, or (2) the fourth calendar year from the date of the first contribution under this Special \$457 Catch-Up election. During the elected NRA year, I will have attained the appropriate age and/or years of service to be eligible for unreduced retirement benefits under my Employer's basic retirement plan. NRA may not be changed in my second and third year of Special \$457 Catch-Up. I must have "underutilized amounts" by not contributing the maximum amount available to me under this Plan in any prior calendar years in which I was eligible to participate. I have calculated the total underutilized amounts I have available for Special \$457 Catch-Up using the attached underutilized amounts worksheet as indicated below, and have attached the third page worksheet. The calculation tools are provided for my convenience and I should consult with my tax advisor about my tax situation.</p> <p>Underutilized Amount: \$ _____</p> <p><input type="checkbox"/> I elect to cancel my Catch-Up contribution election.</p>				
Payroll Deduction				
<p>This election will supersede all previous contribution elections. The total annual before-tax and Roth contributions combined cannot exceed \$18,000 of eligible compensation in the 2017 tax year, unless catch-up provision has been elected.</p> <p>Select One: <input type="checkbox"/> Start <input type="checkbox"/> Restart <input type="checkbox"/> Change <input type="checkbox"/> Stop</p> <p>I elect to contribute to the Plan the following amount(s) or percentage(s) of my eligible compensation as indicated below (\$5 or .5% minimum contribution) (PER PAYCHECK):</p> <p><input type="checkbox"/> Before-Tax Contributions \$ _____ or _____ %</p> <p><input type="checkbox"/> Roth Contributions \$ _____ or _____ %</p> <p>Payroll Effective Date (mm/dd/yyyy) _____ / _____ / _____</p>				

Last Name	First Name	MI	Social Security Number	Number
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C Participant Consent

My signature acknowledges that I have read, understand and agree to all pages of this form and affirms that all information that I have provided is true and correct. I also understand that:

- Until cancelled, superseded or I cease to be an eligible employee, this election shall apply to all eligible compensation allowed by the Plan paid from the effective date specified unless a different effective date is required under the terms of the Plan and cancels all previous elections.
- Payroll elections must be entered into prior to the first day of the month that the deferral will be made.
- I may change the dollar amount or percentage of compensation contributed as allowed under the terms of the Plan.
- It is my responsibility to comply with any Internal Revenue Code deferral limits and that I may be responsible for any costs, including taxes and penalties that I may incur as a result of excess contributions.
- My Plan Administrator/Trustee may take any action that may be necessary to ensure that my participation is in compliance with any applicable requirement of the Plan Document and the Internal Revenue Code.
- I authorize the payroll deduction as indicated on this form.

Any person who presents false or fraudulent information is subject to criminal and civil penalties.

Participant Signature _____ Date (Required) _____

D Mailing Instructions

Participant forward to Empower Retirement

Empower Retirement
PO Box 173764
Denver, CO 80217-3764
Phone #: 1-866-737-7457
Fax #: 1-866-745-5766

Core securities, when offered, are offered through GWFS Equities, Inc. and/or other broker dealers.

GWFS Equities, Inc., Member FINRA/SIPC, is a wholly owned subsidiary of Great-West Life & Annuity Insurance Company.

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Underutilized Amounts Worksheet for Special §457 Catch-Up**Begin with the first year you became eligible to participate in this Plan. Ignore all prior years.****Instructions:**

- Enter your includible compensation in column **A**, and multiply by the percentage indicated.
 - For years prior to 2002, includible compensation is equivalent to the amount shown on the W-2 in Box 1 (or Box 10 for years prior to 1993).
 - For 2002 and thereafter, includible compensation is equivalent to the amounts shown on the W-2 in Box 1, PLUS any amounts received from your employer as a qualified transportation fringe benefit, any amounts deferred under a §125 cafeteria plan and any contributions to a §401(k), §403(b) and §457(b) plan.
- In column **C**, enter the lesser of the amount in column **A** (includible compensation x applicable percentage) or **B** (basic annual §457(b) contribution limit).
- From column **C**, subtract columns **D** (actual contributions) and **E** (other contributions) and enter that amount in column **F** (underutilized amount).
- Add totals from column **F** in the **TOTAL UNDERUTILIZED AMOUNTS** line at the end of the worksheet; this is your total underutilized amount.
- You may only use an underutilized amount equal to the current year's basic annual §457(b) contribution limit. Any remaining underutilized amount may be contributed in any remaining special catch-up years, up to an amount equal to that year's basic annual §457(b) contribution limit.
- You must subtract the Special §457 Catch-Up amounts contributed in a prior year from the total underutilized amounts to determine the remaining underutilized amounts.

	A	B	C	D	E	F
Calendar Year	Includible Compensation (See instruction #1)	Basic §457(b) Contribution Limit	Lesser of <u>A</u> or <u>B</u>	Actual Contributions to <u>this</u> §457(b) Plan	Contributions to <u>another</u> §457(b), 403(b) or 401(k) for Years Prior to 2002 and thereafter, only to another §457(b)	Underutilized Amount
1988	33.3% x \$	\$7,500	\$	\$	\$	\$
1989	33.3% x \$	\$7,500	\$	\$	\$	\$
1990	33.3% x \$	\$7,500	\$	\$	\$	\$
1991	33.3% x \$	\$7,500	\$	\$	\$	\$
1992	33.3% x \$	\$7,500	\$	\$	\$	\$
1993	33.3% x \$	\$7,500	\$	\$	\$	\$
1994	33.3% x \$	\$7,500	\$	\$	\$	\$
1995	33.3% x \$	\$7,500	\$	\$	\$	\$
1996	33.3% x \$	\$7,500	\$	\$	\$	\$
1997	33.3% x \$	\$7,500	\$	\$	\$	\$
1998	33.3% x \$	\$8,000	\$	\$	\$	\$
1999	33.3% x \$	\$8,000	\$	\$	\$	\$
2000	33.3% x \$	\$8,000	\$	\$	\$	\$
2001	33.3% x \$	\$8,500	\$	\$	\$	\$
2002	100% x \$	\$11,000	\$	\$	Other 457(b) \$	\$
2003	100% x \$	\$12,000	\$	\$	Other 457(b) \$	\$
2004	100% x \$	\$13,000	\$	\$	Other 457(b) \$	\$
2005	100% x \$	\$14,000	\$	\$	Other 457(b) \$	\$
2006	100% x \$	\$15,000	\$	\$	Other 457(b) \$	\$
2007	100% x \$	\$15,500	\$	\$	Other 457(b) \$	\$
2008	100% x \$	\$15,500	\$	\$	Other 457(b) \$	\$
2009	100% x \$	\$16,500	\$	\$	Other 457(b) \$	\$
2010	100% x \$	\$16,500	\$	\$	Other 457(b) \$	\$
2011	100% x \$	\$16,500	\$	\$	Other 457(b) \$	\$
2012	100% x \$	\$17,000	\$	\$	Other 457(b) \$	\$
2013	100% x \$	\$17,500	\$	\$	Other 457(b) \$	\$
2014	100% x \$	\$17,500	\$	\$	Other 457(b) \$	\$
2015	100% x \$	\$18,000	\$	\$	Other 457(b) \$	\$
2016	100% x \$	\$18,000	\$	\$	Other 457(b) \$	\$

Total Underutilized Amounts (Column F) \$ _____

Prior Special §457 Catch-Up Contributions, if any (Column E) - \$ _____ (subtract)

Total Underutilized Amounts Remaining = \$ _____ (equals)